

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

08/22/03

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. 1.33(b))</small>	Attorney Docket No.	3336.1017-001
	First Named Inventor or Application Identifier	Foster D. Hinshaw
	Express Mail Label No.	EV 052031241 US

03917 U.S. PTO  
10/646522  
08/22/03

Title of Invention	CONTROLLING VISIBILITY IN MULTI-VERSION DATABASE SYSTEMS
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<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. <input type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Pages [ 55 ]</span> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">Total Sheets [ 22 ]</span> <input checked="" type="checkbox"/> Fig. of the Drawings for Publication <span style="float: right;">[ 9 ]</span> <input type="checkbox"/> No Figure to be Published 4. <input type="checkbox"/> Oath or Declaration <span style="float: right;">Total Pages [ ]</span> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d))  <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>                Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)  <div style="text-align: center;">[ ] Pages</div> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>
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ACCOMPANYING APPLICATION PARTS	
7. <input type="checkbox"/> Assignment Papers (cover sheet & documents)	
<input checked="" type="checkbox"/> Assignee -	Netezza Corporation Framingham, Massachusetts 01702
8. <input type="checkbox"/> Power of Attorney	<input type="checkbox"/> 37 C.F.R. 3.73(b) Statement
9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
11. <input type="checkbox"/> Preliminary Amendment	
12. <input checked="" type="checkbox"/> Return Receipt Postcard	
13. <input type="checkbox"/> Small Entity Statement(s)	
14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365	
14b. <input type="checkbox"/> Certified Copy of Priority Document(s)	
15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>	
16. <input type="checkbox"/> Other	

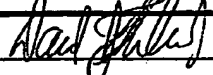
17. If a **CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No.:

Prior application information:    Examiner:    Group Art Unit:

**The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.**  
*(Add standard Related Applications section with incorporation by reference to specification or update same)*

18. CORRESPONDENCE ADDRESS					
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Signature		Date	8/22/03
Submitted by Typed or Printed Name	David J. Thibodeau, Jr.	Reg. Number	31,671

JAN 20 2004

## HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	3336.1017-001
	Application Number	10/646,522
	First Named Inventor	Foster D. Hinshaw

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))		49 - 20* =	29	x \$ 18 =	\$ 522
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))		3 - 3** =	0	x \$ 86 =	\$ 0
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 290 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 770
				Total of above Calculations =	\$ 1292
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$
				TOTAL =	\$ 1292
				Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =	\$ 130
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$
				Assignment Recordation Fee = (only when filed with application)	\$
				[Other]	\$
* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent				TOTAL =	\$ 1422

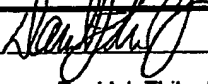
## 1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$1422.00. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature		Date	1/15/04
Submitted by Typed or Printed Name	David J. Thibodeau, Jr.	Reg. Number	31,671

This fee has not reached the following  
 11550 (initial) check FD 1/14/04  
 but would receive #40-0